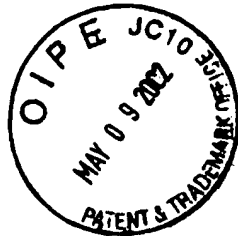




## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/066,339	01/30/2002	Qian Zhao	0007975-0028

J.D. Harriman II  
COUDERT BROTHERS LLP  
23rd Floor  
333 South Hope Street  
Los Angeles, CA 90071

CONFIRMATION NO. 9849

## FORMALITIES LETTER



\*OC000000007753825\*

Date Mailed: 04/01/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/10/2002 SMINASS1 00000045 10066339

FILED UNDER 37 CFR 1.53(b)


01 FC:201	370.00 OP
02 FC:203	162.00 OP
03 FC:202	210.00 OP
04 FC:205	65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$372.
  - \$162 for 18 total claims over 20.
  - \$210 for 5 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 807.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

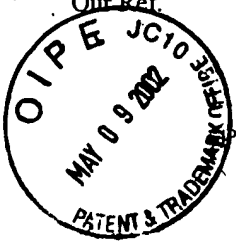
PART 2 - COPY TO BE RETURNED WITH RESPONSE

#4 T.D. PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Quan Zhao, et al.  
Application No. : 10/066,339  
Filing Date : January 30, 2002  
For : Lossless and Near-Lossless Source Coding for Multiple Access  
Grp/Art Unit : 2631  
Examiner : Not assigned  
Our Ref. : 0007975-0028

COPY OF PAPERS  
ORIGINALLY FILED



CERTIFICATE OF MAILING (37 C.F.R. § 1.8A)

I hereby certify that this correspondence is, on the date shown below, is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Box Missing Parts, Washington, D. C. 20231.

Jose Ramos

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NON-PROVISIONAL APPLICATION

Commissioner:

In response to the Notice to File Missing Parts of Non-Provisional Application dated April 1, 2002, enclosed are:

1. Part 2 Copy of Notice to File Missing Parts of Non-Provisional Application
2. Signed Declaration for Patent Application
3. Check in the amount of 807.00 for the missing filing fee (370.), additional claim fee (372.) and missing parts surcharge (65.) for a small entity.

The Commissioner is hereby authorized to charge any additional fees that may be required or credit any overpayment under 37 CFR 1.16 and 1.17 to Deposit Account No. 50-1215. Please show our reference number with any charge or credit to our Deposit Account. Our Customer Number is 23600. A copy of this letter is enclosed.

Please address all communications to J. D. Harriman II, COUDERT BROTHERS LLP, 333 South Hope St., 23<sup>rd</sup> Floor, Los Angeles, California 90071.

Respectfully submitted,

COUDERT BROTHERS LLP

Date: April 30, 2002

By:   
J. D. Harriman II  
Reg. No. 31,967

COUDERT BROTHERS LLP  
333 South Hope Street, 23<sup>rd</sup> Floor  
Los Angeles, California 90071  
(213) 229-2900